

## **NARRATIVE RESPONSES FOR TRIBAL GAMING REGULATORS**

### **Question 5c. Is there any particular explanation for the increase?**

Regulator 1 - The department formed in 1993 under another department when no one knows where Indian gaming was going. At that time we had a staff of 12, dealing with 4 Indian gaming operations. Now we have expanded to a staff of 56-58, and deal with 17 compacted tribes (18 gaming facilities).

Regulator 2 - More staff

Regulator 3 - More employees

Regulator 4 - Salary increases

Regulator 5 - Increase in wages (cost of living increase and merit increase) 2-5%  
- 3% increase in benefit packages  
- more computer equipment  
- new employees

Regulator 6 - N/A

Regulator 7 - Before, when casino had bingo only, there was no monitoring by commission. Now have to have more money to monitor casino's increased activities (more employees, equipment, etc)

Regulator 8 - N/A

Regulator 9 - N/A

Regulator 10 - commission grew with growth of casino

Regulator 11 - salary increases

Regulator 12 - N/A

Regulator 13 - gaming has expanded in entire state as well as the growth in the number of machines

Regulator 14 - N/A

Regulator 15 - N/A

Regulator 16 - cost of living increases

- Regulator 17 - additional costs of background checks and more employees
- Regulator 18 - more equipment and staff
- Regulator 19 - inflation and simply previous budgets were not enough
- Regulator 20- Department formed in 1993 under another department. No one knew where Indian gaming was going.
- They had only 8 compacts and a staff of 12, dealing with 4 operations
  - Now have 17 compacted tribes with 18 gaming operations and staff of 62
- Regulator 21 - only had a staff of 2 five years ago, now have 9 staff members and 5 board members
- Regulator 22 - more staff in compliance
- secretarial pool has increase
- Regulator 23 - growth of operation, went from pull-tabs to a two casino establishment
- Regulator 24 - salaries up
- equipment needs
  - computerized fingerprint machine cost \$85,000
- Regulator 25 - staffing need
- Regulator 26 - Respondent not privy to financial information
- Regulator 27 - Added three cards
- Regulator 28 - salaries
- Regulator 29 - Added employees
- Prior to July 1 of 1997, the agency did not perform background checks. Instead another state agency performed this task, and therefore received monies from the tribes to do so. Once the respondent's agency began performing the checks, it received the money from the tribes and its budget increased dramatically.
- Regulator 30 - N/A
- Regulator 31 - N/A
- Regulator 32 - N/A

Regulator 33 - Got into gaming in the mid 1980's with lottery, and Indian gaming began 4-5 years ago. So as the industry grows, so does enforcement.

Regulator 34 - increase in gambling.

**Question 6 How would you describe the major activities of your agency?**

Regulator 1 -

- investigate violations of compacts
- keep lines of communication open between state department of gaming and tribes
- carry out provisions of compact
- conduct investigations of employees
- issue certifications
- conduct background checks of and issue certifications to manufacturers of gaming devices
- oversee regulatory requirements of gaming devices
- conduct inspections of those devices
- compact compliance review
- test internal controls of facility (every other year)

Regulator 2 -

- background checks
- inspections
- machines
- vendors, etc.

Regulator 3 -

- enforce gaming regulations of tribal, state, and federal regulators
- ensure integrity and honesty of gaming on the reservation

Regulator 4 -

- compliance
- monitoring casino activity
- auditing compliance of NIGC Minimum Internal Controls (MICS)

Regulator 5 -

- approve the licensing of employees and vendors
- the consideration of requests to bar people for illegal activities
- review of internal controls
- review of NIGC MICS
- review of operating activities
- ensure compliance
- ensure integrity of operation
- ensure safety and health of patrons and employees

Regulator 6 -

- investigate
- oversee internal control matters

Regulator 7 - Inspections

- ensure casino complies with internal, state compact, and NIGC regulations
- Regulator 8 -
  - licensing of non-profit agencies and Indian gaming establishments
  - set up machines
  - license and renew machines
  - regulate machines
- Regulator 9 - Monitor casino to make sure it meets all tribal and NIGC regulations
- Regulator 10 -
  - license vendors and employees
  - promogate rules like MICS and enforce them
  - background investigations
- Regulator 11 - Regulate casino and keep its integrity
- Regulator 12 - General regulatory oversight
  - audits
  - inspection
  - direct oversight
  - licensing
- Regulator 13 - Regulation of casinos
  - compliance with compacts
- Regulator 14 -
  - license employees
  - determine suitability of bingo employees
  - test and approve gaming equipment
  - review and approve internal control procedure
- Regulator 15 - Indian gaming
  - certify all new employees
  - register all companies who do business with the casinos
  - agents on the floor
- Regulator 16 -
  - protect the assets of the tribe
  - protect the integrity of the games
  - license employees and vendors
- Regulator 17 -
  - background investigations
  - vendor licensing
  - compliance
- Regulator 18 - monitoring compliance of federal, state and tribal regulations
- Regulator 19 - oversight of tribe

- Regulator 20 - carry out compact, compact compliance review
- issue certifications
  - do background checks and certify manufacturers of gaming devices
  - oversee regulatory requirements of gaming devices
  - inspect gaming devices
  - investigate compact violations
  - keep open line of communication with tribes
- Regulator 21 - To ensure integrity of Indian gaming
- background checks
  - testing MICS on constant basis
- Regulator 22 - to be up on all rules and regulations and procedures that need to be carried out
- Regulator 23 - 70% of time spent on licensing employees
- 5% of time spent on licensing vendors
  - 25% spent on audits, patron disputes, and oversight, conducting non-background investigations
- Regulator 24 - employee and vendor licensing
- Regulator 25 - regulatory
- onsite inspections at casino of machines, review of MICS, meet with tribal leaders on a daily basis and with gaming commission
- Regulator 26 - audit monies from machines
- machine function – quality and reliability
- Regulator 27 - To ensure the outlet is state certified
- 50 % background investigations
  - 30% criminal responsibility
  - additionally, agency has regulatory authority of the casinos, which amounts to 5% of their activities. This time is spent making on-site inspections
- Regulator 28 - with state
- regulate casinos
  - compliance with compact, ordinances, and internal controls
- Regulator 29 - Under compact job is twofold: perform all background investigations for casinos and monitor ensure compact and internal control standards are followed.
- Regulator 30 - protect assets and background checks on employees and vendors

- Regulator 31 - Regulatory agency
  - Background checks
  - Investigations of rule violations
- Regulator 32 - Not primary regulators, we are secondary
  - Six people per casino
  - Table games and slots
- Regulator 33 - Lottery regulator
  - Tribal oversight. Tribes are main regulators and the State's interest is to see that tribal regulation is an appropriate level according to the compact
- Regulator 34 - Regulatory agency
  - Two goal are to make sure people involved have no criminal history and to ensure that games are fair and honest.

**Question 7 How have these activities changed over the last five years?**

- Regulator 1 - before, they were understaffed, made new adjustments and increased activities
- Regulator 2 - Have not changed
- Regulator 3 - making more administrative procedures for employees
- Regulator 4 - more comprehensive
  - more detailed
  - more professional
- Regulator 5 - Has not really changed
  - as became busier, levels of activities increase, but are performing same tasks
- Regulator 6 - Doesn't know
- Regulator 7 - The agency just started its activities in May of 1998
- Regulator 8 - Agency just started up this year
- Regulator 9 - N/A
- Regulator 10 - agency started off just licensing
  - as been there longer, have been able to understand their role better and been able to take on more responsibilities

- Regulator 11 - working on compact that will make regulations more stringent and therefore there will be more to do
- Regulator 12 - general regulations on casino are stricter  
- more adherence to internal control standards
- Regulator 13 - Doesn't know
- Regulator 14 - Have not changed
- Regulator 15 - No
- Regulator 16 - No
- Regulator 17 - Just started doing vendor licensing  
- Just began doing compliance activities
- Regulator 18 - there has been an improvement laws have become more strict and are better enforced which has led to increased compliance
- Regulator 19 - more sophisticated and responsibilities are up
- Regulator 20 - were understaffed  
- Have had to make adjustments in order to deal with increased activities
- Regulator 21 - No
- Regulator 22 - always changing
- Regulator 23 - expanded operation
- Regulator 24 - with changes in technology
- Regulator 25 - Yes gone from one investigator per 70 outlets to 1 investigator per 15-20 outlets  
- can review basics, make sure checks done correctly  
- can be much more precise, better to ensure compliance
- Regulator 26 - quality of auditing and ability to test machines  
- both learning curve and technology have improved
- Regulator 27 - Budget approval for law enforcement
- Regulator 28 - increased and more detailed because regulators are getting used to their activities

- Regulator 29 - Have just begun performing background checks within the past five years  
- Began with one casino, and now have 4 to regulate
- Regulator 30 - Have not changed
- Regulator 31 - No, created in 1998 and size, staff and budget the same.
- Regulator 32 - No, compact gives audit authority, but don't do over table games.
- Regulator 33 - Not changed, but increased. Overall good relationships with tribes. Of course some difficulties with history of sovereignty, but over the last 4-5 years tribes understate State's role.
- Regulator 34 - Expanded card rooms and collection of fees. Legislation passed in '96 and '97 and report on website.

**Question 8h: Has the frequency of on-site inspections changed over the last five years?**

- Regulator 1 - Yes, because of explosiveness of gaming  
- since 95-96, it has been pretty consistent, however
- Regulator 2 - No
- Regulator 3 - No
- Regulator 4 - Yes, they were minimal 5 years ago  
- Now, they are monitoring daily and in depth  
- Doing the compliance audit more professionally
- Regulator 5 - It's the same
- Regulator 6 - Increased somewhat because of more regulatory requirements
- Regulator 7 - Yes, went from 0 inspections to daily ones
- Regulator 8 - N/A
- Regulator 9 - N/A
- Regulator 10 - No
- Regulator 11 - Just beginning now



- Regulator 12 - It's the same
- Regulator 13 - No
- Regulator 14 - No
- Regulator 15 - No
- Regulator 16 - Yes, there are more things to inspect
- five years ago, there were 1000 machines, now 3100
  - five years ago, there were 35 table games, now there are 95
- Regulator 17 - Yes, within the past five years have started doing daily on-site inspections
- there has been more open communication with casino over incidents at the casino
- Regulator 18 - Yes
- Regulator 19 - No
- Regulator 20 - Same
- Regulator 21 - No
- Regulator 22 - Yes, more often, took upon themselves to do this
- Regulator 23 - Yes, expansion of facilities and games
- Regulator 24 - N/A
- Regulator 25 - Yes, will increase as staff increases
- Regulator 26 - Yes, varies over time
- month to month changes
  - inspections increased over past few years
  - Now has leveled off due to more inspectors
- Regulator 27 - No
- Regulator 28 - Inspections a little more intense, have increased
- This is because issues change and because there have been more things required of the gaming facility and the Tribal Gaming Commission
- Regulator 29 - Yes, have increased staff and been able perform more functions.

- There are also more tribes with gaming therefore the agency has had to increase its activities

Regulator 30 - No

Regulator 31 - Yes, implemented policy to do shorter inspections but to inspect more often

Regulator 32 - No

Regulator 33 - No

Regulator 34 - non-tribal 2-3 times a year  
 - Tribal 2-3 times a week

**Question 10: In your opinion, what are the most important aspects of your agency's activities?**

Regulator 1 - Continued open lines of communication with tribal regulators

Regulator 2 - Background checks and device checks

Regulator 3 - to ensure compliance with compact, internal control standards, and ordinance

Regulator 4 - ensuring compliance with federal, state, and tribal law

Regulator 5 - having integrity to oversee operation without influence of tribe or casino  
 - following up on errors and improper activities  
 - try to be proactive, not reactive  
 - try to prevent and remove temptation

Regulator 6 - overseeing compliance of regulations

Regulator 7 - compliance with all controls at all levels

Regulator 8 - ensure that state gaming industry is fair and crime is kept out of Indian gaming

Regulator 9 - monitoring background and security checks

Regulator 10 - development, education, and enforcement of internal control standards

Regulator 11 - Background investigations

- Regulator 12 - licensing
  - maintaining compliance with state compact
- Regulator 13 - regulation of casinos
  - compliance with compacts
- Regulator 14 - licensing and compliance
- Regulator 15 - maintaining integrity of the operation
- Regulator 16 - protect assets of tribe
  - have good employees at the casinos
- Regulator 17 - 3 step process:
  - protect license of casino
  - ensure integrity of casino
  - protect assets of casino
- Regulator 18 - maintaining compliance
  - background checks
  - licensing
- Regulator 19 - maintaining a working relationship with tribes and protect state citizens from fraud or misrepresentation
  - minimal involvement helps to ensure integrity
- Regulator 20 - protect tribal assets and the public
  - protect integrity of Indian gaming
- Regulator 21 - That there is a regulating body on reservation overseeing regulations
  - Background checks
- Regulator 22 - to see that rules and regulations governing casino are complied with
- Regulator 23 - ensuring integrity of Indian gaming
  - ensure fair opportunity to win
  - ensure employees have a fair place to work
  - ensure health and safety of employees and patrons
  - ensure prosperity of tribe
- Regulator 24 - get most qualified people to staff the casino
  - make sure dealing with legal vendors
- Regulator 25 - try to work with tribal commission of each tribe to help us figure out the smoothest realtions and most productive methods
  - regulatory

Regulator 26 - monitor and protect the public interest  
- auditing state gets 25% of slot revenue

Regulator 27 - A very good job

Regulator 28 - regulation

Regulator 29 - Background investigations  
- The ability to monitor and have access to gaming machines

Regulator 30 - To ensure good employees work at establishment  
- To protect assets

Regulator 31 - To ensure public's confidence in honesty of casinos

Regulator 32 - Overseeing drop and buys  
- machine checks

Regulator 33 - Key component is front end prevention.  
- employee and vendor background investigations  
- Front line defense of organized crime and corruption getting into gaming.

Regulator 34 - Keep gambling clean, keep crime out.  
- Fair games  
- Make sure regulations are followed

**Question 11: Do you think that your state does – a very good job, a good job, an adequate job, a poor job or a very poor job of regulating the gaming industry?**

Regulator 1 - A very good job  
- Since 1993, they have not had any serious problems

Regulator 2 - N/A, because feels state is/shouldn't be a regulator, so question does not apply  
- They monitor and certify by doing background checks and licensing  
- They do a fine job of monitoring

Regulator 3 - An adequate job  
- they cover everything  
- but because this is new to Arizona, employees still need more training

- Regulator 4 - A good job  
- Responsive in dealings with state
- Regulator 5 - A very poor job  
- they are supposed to monitor, instead the state is regulating
- Regulator 6 - N/A (no compact with state)
- Regulator 7 - A very poor job  
- They do not do anything  
- Casinos pay 16% fees to State gaming board, but they never regulate or do anything
- Regulator 8 - An adequate job
- Regulator 9 - N/A (no compact)
- Regulator 10 - N/A (no compact)
- Regulator 11 - N/A (no compact)
- Regulator 12 - Very good  
- also, state police do a good job  
- Their regulatory role is limited to ensuring and monitoring compliance to compact  
- Draw from law enforcement for audits
- Regulator 13 - A good job  
- given the budget restraints, we do the best we can
- Regulator 14 - A good job  
- The compact gives them a significant role in the regulation of the casino
- Regulator 15 - A good job  
- there is always something that can be done better, but they have not had any major problems for many years
- Regulator 16 - N/A (no compact)
- Regulator 17 - N/A (no compact)
- Regulator 18 - A good job  
- periodic visits, and have good communication and working relationship with the state

- Regulator 19 - A good job
- Regulator 20 - A very good job, based on what it says in compacts  
- They are limited by compact requirements
- Regulator 21 - A good job  
- they stay out of the way
- Regulator 22 - A very good job, relationship between tribal gaming commission and state gaming commission employees is very good
- Regulator 23 - State just started regulating, so can't say
- Regulator 24 - A very good job  
- have good relationship with the tribes  
- concerns in tune with the concerns of the tribe  
- want everyone to be legitimate and good
- Regulator 25 - A very good job, given resources we do very well  
- could be more diligent
- Regulator 26 - An adequate job  
- better education would improve it  
- more external and off-site training is needed, especially casino-specific training.  
- Employees lack knowledge of CDS system
- Regulator 27 - A very good job
- Regulator 28 - A very good job  
- Tribal and state regulators work well together  
- The two offices are in constant contact with one another as far as reporting violations, etc.
- Regulator 29 - A very good job  
- Although state does not have full authority, because of limits of compact, they do a very good job with what authority they have
- Regulator 30 - A good job  
- Stricter than most states
- Regulator 31 - A very good job  
- Agency has had no major instances that would have shaken public confidence in gaming venues

- Regulator 32 - A very good job
  - Because we control licensing
- Regulator 33 - A very good job
  - With tribal, good conscientious tribes-systems, rules, internal controls, and state monitors
  - With lottery, set up divided system well in the beginning with state lottery commission and security division under the state police.
- Regulator 34 - A very good job
  - We're looked upon as progressive in the nation
  - Have many rules to follow-policies of gaming

**Question 12: Are there regulations, which in your opinion, place too great a burden on the gaming industry?**

- Regulator 1 - No
- Regulator 2 - No
  - some might say surveillance is a burden but it depends on the operation
- Regulator 3 - Yes, sometimes
  - gaming devices
  - licensing – compact is written to expand meaning to encompass non-gaming employees
- Regulator 4 - No
- Regulator 5 - No
  - Are stringent, but good to prove there is no illicit activities occurring
  - Leaves no doubt
- Regulator 6 - No
- Regulator 7 - No
- Regulator 8 - Yes
- Regulator 9 - No
- Regulator 10 - Yes
  - Background investigations for money handlers cost \$180 to \$1000 per person
  - There are money handlers in the banking industry who don't have to have background investigations

- Regulator 11 - No
- Regulator 12 - Yes
- on a couple of levels
  - our tribe can offer less of a gaming product than a tribe 30 miles away because of different compact negotiations
  - However, not in general because accountability is necessary
- Regulator 13 - No
- Regulator 14 - No
- Regulator 15 - No
- Regulator 16 - No
- Regulator 17 - Yes, confidentiality of obtaining information for background checks ( makes checks difficult to do)
- Regulator 18 - No
- Regulator 19 - No
- Regulator 20 - No
- Regulator 21 - No
- Regulator 22 - No
- Regulator 23 - compact wise – burdensome regulations that make no sense
- some are unfair to Indian gaming
  - for ex: regulations that you cannot give free food or lodging to patrons puts Indian casinos at disadvantaged compared to non-Indian casinos
- Regulator 24 - No
- Regulator 25 - No
- Regulator 26 - No
- Regulator 27 - No
- Regulator 28 - No
- Regulator 29 - No



Regulator 30 - Yes

Regulator 31 - No

Regulator 32 - No

Regulator 33 - No

- because they understand that anytime your are regulating a vice and money industry there is potential for scandal and corruption.
- Need regulation to keep it clean
- Will complain at cost, but will not regulate itself

Regulator 34 - No

**Question 13: Are there, in your opinion, regulations not presently in place which are necessary?**

Regulator 1 - Yes

- don't have ability to issue sanctions on things tribe or to vendor

Regulator 2 - No

Regulator 3 - Yes

- compact state that Tribal Gaming Office can only inspect
- Believes that Tribal Gaming Office should have greater ability to get information

Regulator 4 - No

Regulator 5 - No

Regulator 6 - No

Regulator 7 - No

Regulator 8 - No

Regulator 9 - No

Regulator 10 - No

Regulator 11 - Yes

- auditing

Regulator 12 - No

- Regulator 13 - No
- Regulator 14 - No
- Regulator 15 - No
- Regulator 16 - No
- Regulator 17 - No
- Regulator 18 - Yes, NIGC and MICS
- feels that MICS didn't adequately cover security areas
  - security departments and building health and safety
- Regulator 19 - I don't think we have enough authority
- Compacts need more regulatory authority for state to play more of a role
- Regulator 20 - Yes, could be more regulatory authority for ability to sanction violations, like fines in NV
- Regulator 21 - No, NIGC did thorough job on MICS and got a lot of input from the tribes
- NIGA did good job too
- Regulator 22 - No
- Regulator 23 - No
- Regulator 24 - No
- Regulator 25 - more mandates regarding flow of money
- more accountability of assets after they reach tribal coffers
  - more authority for enforcement
- Regulator 26 - Fixed percentage of gross revenue per state compact requires protection of public interest
- in protecting the public, we need to not be legally impeded by tribal regulation
  - Gaming commission limits access of financial books to state regulators
  - State regulators need to have access to original casino incident log
- Regulator 27 - definitive guidelines on conflict of interest between tribal commission and tribal council

Regulator 28 - No

Regulator 29 - Yes

- Would like to renegotiate compact
- For ex: would like to see state-wide employee licensing, so that person would come to state and receive license and then could work in any gaming outlet in the state.
- Ex: Sunset provision in compact for some issues

Regulator 30 - Need to trust the tribes a bit more

Regulator 31 - Yes, agency is in process of adopting expanded set of rules for OTB

Regulator 32 - No

Regulator 33 - Might be a few to make or job easier, but balance on the other side.

- Environment that slows industry to conduct business
- Adequate provisions, laws to appropriate and regulate industry

Regulator 34 - No

**Question 14: Do you think that your state does – a very good job, a good job, an adequate job, a poor job or a very poor job of enforcing its gaming regulations?**

Regulator 1 - A very good job

- they take responsibilities seriously
- between tribal regulators and state regulators working together they have avoided bad errors

Regulator 2 - An adequate job

- but notes this means adequate job monitoring since the state should not be regulating at all

Regulator 3 - A good job

- enforce everything on compact the best they can
- but still are things in there that go beyond there scope

Regulator 4 - A very good job

- conscientious
- even overdo it sometimes

Regulator 5 - A good job

- Language of compact is to monitor, not enforce
- Attempting to assure rules are followed

- Regulator 6 - N/A (no compact)
- Regulator 7 - A very poor job  
- state regulatory agency not there
- Regulator 8 - A very good job  
- so far (they are new and just setting up)
- Regulator 9 - N/A (no compact)
- Regulator 10 - N/A (no compact)
- Regulator 11 - N/A (no compact)
- Regulator 12 - A very good job  
- also, state police do a good job  
- Their regulatory role is limited to ensuring and monitoring compliance to compact  
- Draw from law enforcement for audits
- Regulator 13 - A good job
- Regulator 14 - An adequate job  
- because the compact provides for state regulatory oversight
- Regulator 15 - An adequate job  
- we've been increasing enforcement recently  
- always have to redefine what we're doing  
- But have lack of staff
- Regulator 16 - N/A (no compact)
- Regulator 17 - N/A (no compact)
- Regulator 18 - A good job  
- have working relationship with the state
- Regulator 19 - A very good job  
- small staff, intelligent and educated staff that is effective in maintaining a presence  
- have a good working relationship with tribe
- Regulator 20 - A very good job  
- because have program where visit casinos very often and unannounced  
- also have good relationship with tribes

- Regulators 21 - An adequate job – respondent is satisfied with state
- Regulator 22 - A good job, inspectors are out anytime they want and unannounced
- Regulator 23 - Can't say state just started regulating
- Regulator 24 - A very good job
- state and tribes are all a team with the ultimate goal to make sure everything is on the up and up
- Regulator 25 - An adequate job
- Manpower will improve
  - not actual tasks of job but ensuring better coverage
- Regulator 26 - A very poor job
- learning curve is too high, need more education
- Regulator 27 - A good job
- Regulator 28 - A very good job
- good communication
  - state keeps on top of the Tribal Gaming Commission
  - State helps when needed
- Regulator 29 - A very good job
- based on what state has available, they do a very good job.
- Regulator 30 - A very good job because keep in close contact with each other
- Regulator 31 - A very good job
- Have had no problems
- Regulator 32 - A very good job
- Staff better than average
  - Monitor well
  - Other State's surprised at what we do
- Regulator 33 - A very good job
- Take a lot of pride in what we do, serious business
  - Realize reputation of entire industry is interwoven. One incident linked to lax oversight by the state and the state looks bad.
  - Gambling foes are watching
  - Responsibility to public and gaming.
  - National impact-tight regulation important for the integrity of industry

- Regulator 34 - A very good job
- Because they have agents always in the field, undercover division.
  - Work with other law enforcement agencies, quite comprehensive

**Question 15: In your experience, are there regulations that need greater enforcement activity than they currently receive?**

- Regulator 1 - Yes
- always room for improvement
  - dispute resolution provision
  - need to have more defined system or arbitration or litigation for disputes
  - Also, the \$10,000 threshold for certifying vendor companies is too high
- Regulator 2 - Yes
- Need to change laws to better apply to vendors
- Regulator 3 - Yes
- want to be able to get fingerprints to determine background
  - give tribe something to be able to tell if person should be allowed in casino or not
- Regulator 4 - No
- Regulator 5 - No
- Regulator 6 - No
- Regulator 7 - No
- internal controls are stricter than federal controls
- Regulator 8 - No
- Regulator 9 - No
- Regulator 10 - Yes
- Process of education versus enforcement
  - lack of communication versus lack of willingness to comply
- Regulator 11 - Yes
- Everything
- Regulator 12 - No
- Ones that have most impact are okay

- Regulator 13 - No
- Regulator 14 - No
- Regulator 15 - No
- Regulator 16 - Yes, need to enforce more on security so there is less cheating
- Regulator 17 - Yes, internal audits  
- integrity of games  
- needs to better and more control at gaming commission level
- Regulator 18 - No
- Regulator 19 - Yes, compliance with internal procedures by tribes
- Regulator 20 - No
- Regulator 21 - No
- Regulator 22 - No
- Regulator 23 - Regulations for non-Indian gaming
- Regulator 24 - No
- Regulator 25 - more outlets need regular inspections  
- gaming assessments need to be more appropriately regulated pursuant to dispersment plan  
- are monies being spent in accordance with disbursement plan  
- no criminal authority
- Regulator 26 - greater latitude for inspecting
- Regulator 27 - No
- Regulator 28 - No
- Regulator 29 - There are things in compact that need more enforcement.  
- For example, they put people to work before background check has been done
- Regulator 30 - No
- Regulator 31 - No

Regulator 32 - No

Regulator 33 - No

Regulator 34 - No